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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/692,136	10/23/2003	Robert White	00216-645002	9171
26161	7590	03/28/2006	EXAMINER	
FISH & RICHARDSON PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			HAMILTON, ISAAC N	
			ART UNIT	PAPER NUMBER
			3724	

DATE MAILED: 03/28/2006

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**BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES**

Application Number: 10/692,136  
Filing Date: October 23, 2003  
Appellant(s): WHITE ET AL.

**MAILED**  
**MAR 28 2006**  
**Group 3700**

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Celia H. Leber  
For Appellant

**EXAMINER'S ANSWER**

This is in response to the appeal brief filed 01/13/06 appealing from the Office action mailed 10/19/05.

**(1) Real Party in Interest**

A statement identifying by name the real party in interest is contained in the brief.

**(2) Related Appeals and Interferences**

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

**(3) Status of Claims**

The statement of the status of claims contained in the brief is correct.

**(4) Status of Amendments After Final**

No amendment after final has been filed.

**(5) Summary of Claimed Subject Matter**

The summary of claimed subject matter contained in the brief is correct.

**(6) Grounds of Rejection to be Reviewed on Appeal**

The appellant's statement of the grounds of rejection to be reviewed on appeal is correct.

**(7) Claims Appendix**

The copy of the appealed claims contained in the Appendix to the brief is correct.

**(8) Evidence Relied Upon**

*5,018,274*                      *TROTTA*                      *5-1991*

*DE 3526951 A1*                      *ERDMANN et al*                      *1-1987*

**(9) Grounds of Rejection**

The following ground(s) of rejection are applicable to the appealed claims:

Claims 17, 18, 20-22, 24 and 26 were rejected under 35 U.S.C. 103(a) as being unpatentable over Trotta (5,018,274) in view of Erdmann et al (DE3526951A1), hereafter Erdmann. Trotta discloses the end product of a cutting element 14 for a safety razor blade unit in figures 1-4 and a method of making it in column 3, lines 36-42. Note in Trotta wafer P; surface/surface plane 24; acute/sharp cutting edges 30 juxtaposed elements 18; guard element 21; intermediate transverse element juxtaposed surface 21 and element 18 in figure 4; interconnecting elements juxtaposed surfaces 22, 23 and elements 18 in figure 3; plurality of planar cutting elements 30 shown in figures 3 and 4; three planar cutting elements shown in figures 3 and 4; silicon in column 2, line 16. Trotta discloses a method of making a cutting element for a safety razor, but does not disclose the claimed method of making a cutting element for a safety razor directed to an etching process. However, Erdmann teaches a method of making a cutting element 9, 12 from a wafer of single crystal material with an etching process as shown in figure 1a. It would have been obvious to provide an etching process for making a cutting element from a wafer of single crystal material in Trotta as taught by Erdmann in order to reduce the number of mechanical steps in the process. Also, it would have been obvious to provide an etching process for making a cutting element from a wafer of single crystal material in order to improve cutting quality and a longer life expectancy of the blade. Note in Erdmann anisotropic wet chemical etching and wet etching are shown in figure 1a) by the KOH, which is the chemical etchant in Erdmann's chemical etching method.

Claims 19 and 23 were rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Trotta and Erdmann as applied to claims 17, 18, 20-22, 24 and 26 above, and further in view of applicant's admitted prior art (AAPA). In the appellant's specification on

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pages 3-4, lines 30-23, respectively, the appellant admits that dry etching and isotropic etching are well known. It would have been obvious to use dry etching in the combination as taught by AAPA in order to remove the layers of silicon at a more uniform rate. It would have been obvious to use isotropic etching in the combination as taught by AAPA in order to etch the silicon wafer faster.

#### **(10) Response to Argument**

Appellant asserts that Trotta does not explicitly disclose a guard element, and does not disclose a guard element formed by an etching process. In the appellant's specification on page 7, lines 5-7, the appellant described the guard element as being parallel to the blades and lying between the first blade 11 and the guard member 3 of the frame in the assembled blade unit. Trotta discloses the guard element precisely as it was described in appellant's specification. Trotta discloses a guard element 21 which is parallel to the blades 30 and lies between the first blade 30, which is juxtaposed element 18, and the guard member, wherein the guard member in figure 1 of Trotta is shown in the form of a cylindrical member. Moreover, Trotta does not disclose that the guard element is formed by an etching process because the etching process is taught by Erdmann.

Appellant asserts that the cutting edges 12 of Erdmann are not positioned at the surface plane of Erdmann's blade, however, Erdmann was not used in the rejection to teach a surface plane because the surface plane is disclosed in Trotta as being coplanar with the surface 24. Erdmann teaches an etching process for making sharp cutting edges in order to improve cutting quality and a longer life expectancy of the blade. Erdmann teaches an improved process which is superior to the grinding and polishing process disclosed in Trotta.

Appellant asserts that there is no teaching or suggestion to combine Erdmann and Trotta, and that the combination is derived from hindsight reconstruction. However, in the English translation of Erdmann on page 2, 10 lines from the bottom of the page, Erdmann declares, “the objective of the invention is to create a shearing blade with improved cutting quality and a longer life expectancy [of the blade].” This sentence would motivate a person of ordinary skill in the art to replace the process described by Trotta with the etching process taught by Erdmann.

Appellant asserts that the shearing blades in Erdmann are intended for use in electric shavers, and therefore the references cannot be combined. Moreover, appellant states that electric shavers do not have sharp cutting edges as was defined in claim 17. The references are combinable because both Erdmann and Trotta disclose devices which have cutting edges for cutting hair from a user’s skin. Also, cutting edges are always “sharp”. If the edge of the razor in Erdmann was not “sharp” it would not cut any hair. And even though there are shearing blades which may have a rectangular configuration, and the cutting is caused by the shearing action of the two rectangular shearing blades, this is not the case with Erdmann. Erdmann clearly shows a cutting edge 12 in figures 1b) and 1c), which has two surfaces that meet at an apex, wherein a cutting edge is formed along that apex. The appellant’s own “sharp” cutting edges are formed with this identical structure. If the appellants structure of the “sharp” cutting edge, as shown in figures 3 and 4, is identical to Erdmann, it is determined that this structure defines the term “sharp”; therefore, Erdmann discloses a “sharp” cutting edge.

#### **(11) Related Proceeding(s) Appendix**

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner’s answer.

For the above reasons, it is believed that the rejections should be sustained.

Respectfully submitted,



Isaac N. Hamilton

Conferees:

*as for Joe Hail*

Mr. Joe Hail, SPE 3723

*as*

Mr. Allan Shoap, SPE 3724



Allan N. Shoap  
Supervisory Patent Examiner  
Group 3700

Attachment: translation of Erdmann et al.

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TRANSLATION  
TEMPORARY.PDF

(19) **FEDERAL REPUBLIC  
OF GERMANY  
GERMAN PATENT AND  
TRADE MARK OFFICE**

(12) **Disclosure Certificate**  
(11) **DE 35 26 951 A1**

(51) Int. Cl.<sup>4</sup>:  
**B 26 B/19/04**  
C 23 F 1/02  
C 30 B 29/06  
C 30 B 33/00

[rectangular stamp]

[Property of the authorities]

(21) File Number: P 36 25 393 6-24  
(22) Date of Application: July 26, 1986  
(43) Date of Disclosure: -

DE 35 26 951 A1

(73) Reporter:  
Battelle-Institut e.V., 6000 Frankfurt, DE

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Friedrichsdorf, DE

(54) **Shearing Blade for Razors and Method for  
the Production Thereof**

The shearing blade consists of a silicon disk (1),  
having a monocrystalline structure into which  
square, rectangular or diamond-shaped holes (11),  
which serve as hair entry holes, are formed by  
means of anisotropic etching.

[PICTURE]

DE 35 26 951 A1

FEDERAL PRINT 12.86 608 865/379

7/60

06/21/2004, EAST Version: 1.4.1



35 26 951

### Patent Claims

1. Shearing blade for razors, characterized by the fact that it consists of a monocrystalline and anisotropically etched silicon disk (1) for the formation of square, rectangular or diamond-shaped holes (11) serving as hair entry holes.
2. Method for the production of a shearing blade for razors, characterized by anisotropic etching of a silicon-pure crystalline disk (1) for the creation of a thin membrane by means of a hole pattern.
3. Method according to claim 2, characterized by the fact that, subject to the geometry and arrangement of the etching masks in local relation to the orientation of the crystal structure, both the form and the edge inclinations of the holes (11) of the hole pattern, serving as hair entry holes, are designed changeably.
4. Method according to claim 3, characterized by the fact that the orientation is made in such a way that the edges of the holes are built of (111) levels, which are minimally variable due to etching, so that without the corrosion of the external geometry of the hole pattern of the holes (11), the density of the shearing blade is determined by the duration of the etching whereby, simultaneously, the sharp cutting edges (12) of diamond-like abrasiveness are produced.
5. Method according to one of claims 2 to 4, characterized by the fact that the shearing blade edge and the fastening geometry of the shearing blade are produced from the same silicon disk (1).
6. Method according to one of claims 2 to 5, characterized by the fact that the silicon-pure crystalline disk shows a (110)-crystalline orientation whereby square or rectangular holes, serving as hair entry holes (11), are produced, the edges of which correspond with the basic direction allowed by the (110)-levels, which are limited through an etching front coming from the opposite side in the form of a frustum of a pyramid. In this way, the cutting edges (12) evolve and, at the same time, the shearing blade obtains the desired density (D), as well as the edge strengthening, and the fastening geometry of the shearing blade is produced.
7. Method according to one of claims 2 to 5, characterized by the fact that the silicon-pure crystalline disk (1) demonstrates a (110)-crystalline orientation, whereby diamond-shaped holes (11), serving as hair entry holes, are produced, which show angular shearing edges at the acute-angled corners and, for the rest, varying shearing edges (12) vertical to the disk surface (2).

### Description

The invention relates to a shearing blade for razors and the method for the production thereof.

Shearing blades for razors, particularly electronic razors, are known. In the case of electronic razors, the shearing blade consists of a perforated nickel foil. The perforation has an excess length on the side that is turned towards the shearing knives, which serves as a cutting edge where the beard hair, for instance, is cut. The excess length tops off after a relatively short time of operation whereby the quality starts to fail.

Furthermore, the cutting and shaving quality is limited by the shearing blade density of approx. 50  $\mu\text{m}$  in the case of shearing blades that are not worn out.

The objective of the invention is to create a shearing blade with improved cutting quality and a longer life expectancy.

This objective is met by the invention characterized in claim 1 and claim 2, respectively.

Advantageous embodiments of the invention are evident from the sub-claims.

Anisotropic etching techniques in connection with crystalline silicon are known. These are, for instance, applied in order to produce micromechanical components, such as trenches, holes, stand-alone tongues, etc., which are required for the creation of ultra-small sensors and actuators (see K.E. Petersen/SILICON AS A MECHANICAL MATERIAL/IEEE, Vol. 70, No. 5, MAY 1982).

The basic idea of the invention is to produce a hole pattern from a monocrystalline silicon disk by means of a single etching process, whereby customary silicon disks of the crystalline orientation (100), (110) are

used. Because of the choice of crystalline orientation, geometry, pattern and arrangement of the etching mask, both the form of the holes serving as beard entry holes, as well as the inclination of the cutting edges, are influenced.

In the case of customary silicon disks of the crystalline orientation (100), holes of rectangular or square profile can be manufactured. Due to the physical peculiarity of the etching process, the hole edges run towards each other in such a way that the hole narrows (e.g. in the case of square holes of the type of the frustum of a pyramid), whereby angular shearing edges of diamond-like quality are shaped on all four sides of the hole. With the customary silicon disks of the crystalline orientation (110), holes with a diamond-shaped profile emerge due to the etching process, whereby the profile hole does not change outside in the acute-angled corner of the diamond with increasing etching depth. Cutting edges running vertically towards the disk surface emerge through the etching process, whereas angular running cutting edges emerge at the acute-angled corners of the diamond-shaped holes by increasing etching depth.

Embodiments of the invention are displayed in the figure and are clarified in more detail as follows:

Fig. 1a – a sectional view of a monocrystalline (100) oriented silicon disk before etching;

Fig. 1b – a sectional view of a monocrystalline (100) oriented silicon disk after etching;

Fig. 1c – a perspective view of shearing blade manufacturing with the method according to the invention;

Fig. 2 – an overview of a monocrystalline (100) oriented silicon disk; and

Fig. 3 – a perspective view of a monocrystalline (110) oriented silicon disk with a diamond-shaped hole shaped therein.

The following section describes the manufacturing process for the production of shearing blades and, as a result thereof, a manufactured shearing blade. Fig. 3 shows a customary (100)-silicon disk, which is subjected to anisotropic etching for the production of a hole pattern. The hole profile is square in the displayed embodiment. It can also be rectangular or, as is clarified further on, diamond-shaped in form.

Due to the physical peculiarity of the etching process, the hole cards run in a pyramid shape towards each other. The holes narrow in the direction of the shaving knives and produce diagonal cutting edges of diamond-like quality. The simultaneous form etching of the shearing blade frame generates the shearing blade in the etching process.

Fig. 1 shows a non-full-scale depiction of the cut-out of the silicon disk 1 oriented in direction (100), the surfaces 2 of which is oxidized in a well-known way on  $\text{SiO}_2$  with hot water vapor. Windows 4 and 5 are produced through the covering with positive photoresist 3, application of etch-masks, exposure, development and opening of the oxide film by means of retentive flow acid. In this case, window 4 corresponds with a hole serving as hair entry hole and window 5 to the backside of the later shearing blade, in other words, the side turned towards the shearing knives.

The window surfaces are made of pure silicon and are oriented on the (100)-silicon disk parallel to the (110)-levels. In the following main etching process of anisotropic etches, here for instance KOH, the windows offer the possibility of penetrating into the silicon until the (111)-levels are reached, and whereby the edges in displayed directions 6, 7, 8 are formed. After the desired shearing blade density D is reached, the etching process is interrupted, and the remaining photoresist is removed. Fig. 1b shows the same section of the silicon disk after etching. It shows a shearing blade part 9, a shearing blade edge 10, as well as hole 11, formed as a hair entry hole, with the resulting cutting edges 12.

Fig. 1c shows a larger portion of the shearing blade in spatial display. It is self-explanatory that the hole pattern can be arbitrarily moved, insofar as the basic directions of the edges of the holes allowed by the (110)-levels. Instead of the square holes, short slits can be advantageous as was mentioned earlier. The shearing blade edge 10, left in original disk strength for the purpose of strengthening, can be equipped with fastening holes and can be shaped, in an advantageous manner, by the same etching process.

A typical embodiment of the shearing blade is shown by the measurements in Fig. 2: density of the silicon disk  $W = 250 \mu$ , shearing blade density  $D = 30 \mu$ , width of hole serving as a hair entry hole  $A = 542 \mu$ , cutting width  $B = 500 \mu$ , base width  $a = 30 \mu$ , base width  $x = 72.5 \mu$  and share/cutting hole  $K_{1/2}$  in percent = 32.7%.

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A new shearing blade of improved cutting quality is created with this invention. It has a longer life expectancy and prevents skin allergies and nickel allergies in an advantageous manner.

Number: 35 26 951  
Int. Cl.: B 26 B 19/04  
Registr. Date: July 27, 1985  
Disclosure Date: January 29, 1987

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[PICTURES]

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**TRANSLATOR'S CERTIFICATE**

I, Dorothea Lotter, do hereby certify that I am fluent in the German and English languages. I prepared the translation into English of the document referred to "temporary". It is true and accurate to the best of my ability.

5 August 2004

  
Dorothea Lotter